Concept of Intervention Logic in Preparation of 2014-2020 PA and Programmes - CZ

MINISTRY OF REGIONAL DEVELOPMENT CZ
NATIONAL COORDINATION AUTHORITY

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Roles and competencies of 2014-2020 preparation

- MoRD responsible for PA preparation and OPs coordination
- MAs responsible for OPs preparation
- MoRD sets up a single methodological environment
  - key for programmes - Methodological guidance for preparation of programming documents
  - methodological documents for particular areas (indicators, evaluations, integrated approaches, etc.) + action plans
- Managing guidance for ensuring the fulfillment of PA
Current state of 2014-2020 preparation

- 2011-2012 - National development priorities+Thematic scopes, Problem analyses
- Initial works on PA started in summer 2012
- Determination of operational programmes approved by the Government in November 2012 – immediate start of OPs preparation
- Informal dialogue with EC – February - June 2013 – continuous discussions on PA draft and OPs
- First drafts of OPs submitted by MAs to MoRD at the end of March 2013
- Draft version of PA + Information on the State of Preparation of Programmes – submitted to the Government at the end of May 2013
- Draft version of PA sent to EC in mid-June in order to get the observations before summer break
- Complete version of PA will be submitted to the Government by the end of October 2013, OPs by the end of November – to the EC afterwards
Requirements on Partnership Agreement analysis

- OPs must be driven by PA, not the opposite way
- PA should be based on:
  - NATIONAL, REGIONAL, macro-regional and sea-basin strategies
  - country-specific Council recommendations
  - distance to the national Europe 2020 targets
  - National Reform Programme
  - Common Strategic Framework
  - experience from the period 2007-2013 - other studies and evaluations
- Development needs and priorities must be evidence based

X

Limited scope of PA
Factual framework of CZ Partnership Agreement

- Problem analysis
- National Development Priorities / Thematic Scopes
- Defining the operational programmes
- Methodological environment
- Strategic objectives and funding priorities
- Programmes
- Cross-cutting elements of the implementation
- Analysis – problem areas
- NRP / SIC
- Country-specific recommendations
- Europe 2020 strategy
- Common Strategic Framework
- Regulations – Thematic objectives/ investment priorities
- Position Paper

Evaluations and other studies ▲ experience from 2004-2006 a 2007-2013
Basis and logic of CZ Partnership Agreement

- The bases for PA analysis are thematic scopes and problem analyses elaborated in 2011-2012 and based on national and regional strategies → identified problems and development needs

- Further specified with regard to 2007-2013 experience, updating the strategies, concentration and to reach alignment with programmes.

- Tool to clarify the logic and links → **matrix of PA logic**

Thematic objective ↔ PA Strategic objective ↔ PA Funding priority ↔ Identified problem ↔ Development need ↔ EU2020 target ↔ CSR ↔ NRP ↔ Position Paper ↔ NDP/TS ↔ Programme ↔ Fund ↔ Priority axis ↔ Investment priority ↔ Specific objective
### Matrix of Partnership Agreement logic

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<thead>
<tr>
<th>Thematic objective</th>
<th>Identified problem, development need</th>
<th>Investment priority, Programmes, funds, priority axes, specific objectives</th>
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#### Table

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<th>Theme</th>
<th>Objective</th>
<th>Identified problem</th>
<th>Development need</th>
<th>Intervention logic</th>
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#### Footnotes

- Thematic objective
- Identified problem and development need
- Intervention logic
- Programmes, funds, priority axes, specific objectives

#### Additional Information

- Concept of Intervention Logic in the Preparation of 2014-2020 PA and Programmes - The Case of Czech Republic
- July 4 and 5, 2013, Vilnius
Programme level – intervention logic

- MoRD as National Coordination Authority prepared „Methodological guidance for preparation of programming documents“
- Intervention logic
  - External coherence – alignment with EU, national and regional goals, strategies and regulatory framework incl. Partnership Agreement
    - define all strategic, conceptual and planning documents with a link to the programme and work with them
    - specify the projection of objectives, priorities and activities of strategy documents to programme investment priorities, priority axes and specific objectives and establish functional system
    - synchronize the monitoring system and evaluation of strategic documents with OPs activities in order to make use of monitoring and evaluation capacity
  - Internal coherence – mutual coherence of identified problems, objectives, measures and activities
1. What is the current situation in the given problem area?

2. What indicators will we use to monitor changes occurring in the area?

3. What is the main problem in the area, we want to face and what is its causation?

4. Which particular cause/causes are we going to influence?

5. What change which will lead to elimination of the problem do we seek to achieve?
6. What measures/activities will we support to reach the change?

7. What are other measures beyond the programme or even beyond the ESIF which are necessary to implement to reach the change?

8. Under what conditions, which have to be fulfilled, will the measures/activities lead to the change?

9. What indicators will we use to monitor whether the measures/activities are realized successfully (output indicators)?
10. What indicators will we use to monitor whether we achieved the change (outcome indicators)?

11. What are other (external) factors which may influence (positively or negatively) achieving the change?

12. When and how will we assess whether we are successful in reaching the change and the elimination of the problem (evaluation system)?
Intervention logic (theory of change)

Schéma č. 2: Vnitřní koherence intervenční logiky – teorie změny

**Problem** → causes → change sought → activities

**Context indicators**, **output indicators**, **outcome indicators**

**Conditions**, **external factors**, **other measures**

Zdroj: MMR-NOK
All MAs were asked to set the model of Intervention logic for each specific objective

Difficulties:

- Overall understanding of its purpose („EC does not require it“)
  - internal tool of each OP and national coordinator to understand the programme logic
  - will be maintained and updated (if necessary) during whole programme implementation

- About 160 specific objectives in the first stage
Intervention logic – lessons learnt

Challenging points:

• Multi-level causality – What are the initial causes? At what level should we stop?
• Do really the activities and the change sought respond to the problem and its causes?
• What is the difference between external factors and other measures? Are legislative changes external factor or other measures?
• How to capture the relationship between specific objectives?

Conclusion:

Significant improvement in the construction of programmes and overall understanding of programming.
Thank you for your attention.

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